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TO:

FROM:

Name: Commissioner for Patents

Name: Gregory M. Hill

Location: USPTO

Location: 2200 Renaissance Blvd
Gulph Mills, PA

Fax No.: (703) 872-9306

Date: March 24, 2004

NUMBER OF PAGES 14 INCLUDING THIS COVER PAGE.

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DOCKET NO. H 3170 PCT/US

RE: Hollenberg, et al.

Application No. 10/031,740

Filed: June 13, 2002

Title: NOVEL DYES AND COLORANTS

-
- Amendment under 37 CFR 1.111 and Request for Extension of Time (12 pages)
 - Fee Transmittal (1 page)

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1674**Complete if Known**

| | |
|----------------------|-------------------|
| Application Number | 10/031,740 |
| Filing Date | June 13, 2002 |
| First Named Inventor | Detlef Mollenberg |
| Examiner Name | Fiona Powers |
| Art Unit | 1626 |
| Attorney Docket No. | H 3170 PCT/US |

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
☒ Deposit Account.

Deposit Account Number: 01-1250 Order Nos. 04-0097, 04-0097 01

Deposit Account Name: Henkel Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description | Fee Paid |
|----------------|----------------|----------------|----------------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 22 | 20** | 2 x \$18.00 = | 36 |
| 11 | 3** | 8 x \$88.00 = | 688 |
| | | \$290.00 = | |

| Large Fee Code | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description | Fee Paid |
|----------------|----------------|----------------|----------------|---|----------|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 | |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid | |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2)

(\$) 724

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description | Fee Paid |
|----------------|----------------|----------------|----------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oia | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 2053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 850 | 2253 | 475 | Extension for reply within third month | 950 |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(g) | |
| 1806 | 180 | 1806 | 180 | Submission of information Disclosure Sheet | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1808 | 770 | 2808 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 950**SUBMITTED BY**

Name (Print/Type) Gregory M Hill

Registration No. 31,369

Complete (if applicable)

Telephone (610) 278-4964

Signature

Date

3/24/04

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From-HENKEL CORPORATION PATENT DEPT,

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T-177 P.03/14 F-752

PATENT

Docket No. H 3170 PCT/US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 24 2004

Applicant: Hollenberg, et al.
Appl. No.: 10/031,740
Filed: June 13, 2002
Title: NOVEL DYES AND COLORANTS
Art Unit: 1626
Examiner: Fiona Powers
Customer No.: 00423
Confirm. No.: 8626

CERTIFICATE OF FACSIMILE TRANSMITTAL

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3-24-04
Date

Iris M. Grogins
Signature of Certifier

Iris M. Grogins
Typed or printed name of certifier

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 CFR 1.111

AND REQUEST FOR EXTENSION OF TIME

Sir:

Applicants respond to the Non-Final Office Action
mailed September 24, 2003.